

#3 LSB-001/CIP

SEP 16 2001
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Patent Application of

Alison A. McCormick et al.

Serial No. 09/539,382

Filed: March 31, 2000

For: SELF ANTIGEN VACCINES
FOR TREATING B CELL
LYMPHOMAS AND OTHER
CANCERS



ATTN: APPLICATION BRANCH

Group Art Unit: 1641

**RESPONSE TO NOTICE AND
SUBMISSION OF EXECUTED DECLARATION
AND VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS**

Assistant Commissioner for Patents
Washington, D.C. 20231

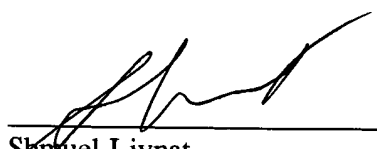
Sir:

In response to the Notice to File Missing Parts of Application mailed June 8, 2000 (copy of which is returned herewith), attached hereto is a Declaration and Power of Attorney, and a Verified Statement Claiming Small Entity Status duly executed by the inventors, referring to the serial number and filing date of the patent application identified above. Please charge Deposit Account No. 18-0013 a total of \$2,376.00 for \$65.00 surcharge for a small entity; \$345.00 for basic filing fee for small entity; \$1,836.00 for 204 total claims over 20; and \$130 for multiple dependent claim surcharge.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 18-0013. A Duplicate copy of this letter is enclosed for that purpose.

Respectfully submitted,

Dated: June 15, 2000



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FORMALITIES LETTER



OC000000005164498

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/539,382	03/31/2000	Alison M. McCormick	LSB-001/CIP

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Date Mailed: 06/08/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$3932.
 - \$3672 for 204 total claims over 20.
 - \$260 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 4752.**

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/16/2000 SDUONG 00000058 180013 09539382

01 FC:201	345.00 CH
02 FC:203	1836.00 CH
03 FC:204	130.00 CH
04 FC:205	65.00 CH